RETAIL ENERGY CODE

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REC V3.0 READINESS UPDATE

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1 PURPOSE

This paper aims to provide an overview of the activities planned to prepare for REC V3.0 in relation to performance assurance. This summary is intended to inform PAB Members of the upcoming activities that are likely to need PAB input at future meetings (for example, review and approval of updated, strategic performance assurance documents). The Code Manager will provide regular, future updates in relation to REC V3.0 and the supporting performance assurance activities and programmatics that are in place, as/when relevant to the PAB.

2 BACKGROUND

The consultation process is ongoing for REC V3.0. On behalf of Ofgem, RECCo reviews comments from the industry and updates the REC drafting as appropriate. REC V3.0 introduces the relevant schedules, service definitions and operational processes required to support the new switching arrangements once they are live. The Code Manager has been engaging with affected stakeholders as part of readiness activities to understand how operational processes will be impacted or will need to be changed.

The changes being developed as part of REC V3.0 are important to the Code Manager and PAB performance assurance activity as new Service Definitions will be introduced for CSS go-live, and some Performance Assurance Framework (PAF) products may be affected. For example, some of the retail risks identified and prioritised at the start of the 'Operate' phase will need to be reassessed as part of the new processes. Operational processes from REC V3.0 schedules will be analysed to understand the extent of the changes required to the PAF products. On this basis, the PAB will need to review updates to Category 3 specific documents. In addition, some of these documents will also go through industry consultation as part of the overall REC V3.0 development process. Any proposed updates to the PAF products will be made in line with the REC Category 3 Change Process. (This is outside and separate to the Ofgem Significant Code Review modifications process).

The Code Manager has developed a programme plan (including critical milestones and deliverables) specifying the required, in-scope Code Manager performance assurance activities between now and CSS go-live. However, it is important to note that this plan will continue to evolve as performance assurance delivery assumptions are validated with stakeholders. A high-level plan on a page has been included in **Appendix A** to illustrate the key activities and milestones that are part of this specific performance assurance programme of activity.

The Code Manager's activities between September 2021 and July 2022 will focus on engaging with stakeholders regarding the update of several Category 3 documents. These are likely to include:

- The Performance Assurance Methodology (PAM)
- The Performance Assurance Techniques (PATs) document
- The Performance Assurance Risk Register
- The Performance Assurance Report Catalogue (PARC)
- The Performance Assurance Operating Plan (PAOP)
- The REC-BSC Joint Storyboards
- User Guides including Performance Assurance, Energy Theft Reduction and Metering Code of Practice

In order to inform where updates are required to PAF products and to understand the impact of REC V3.0, we are undertaking an analysis of the new REC Schedules in the REC V3.0. We are also re-assessing the existing schedules which are part of REC V2.0. The Code Manager has engaged with RECCo subject matter experts (SMEs) to discuss and review the proposed changes to the Schedules.

From our initial analysis, the two Category 3 documents that potentially require the most significant updates, and therefore have most relevancy for the PAB, will be the ***Performance Assurance Risk Register*** and the ***PARC***. It is important to note that the Code Manager will seek views from the PAB on any changes to the PAF products listed above. The Code Manager will run an industry consultation on the key changes/updates as part of this process. Where possible, these updates will be aligned to review cycles and planned updates to the PAF products.

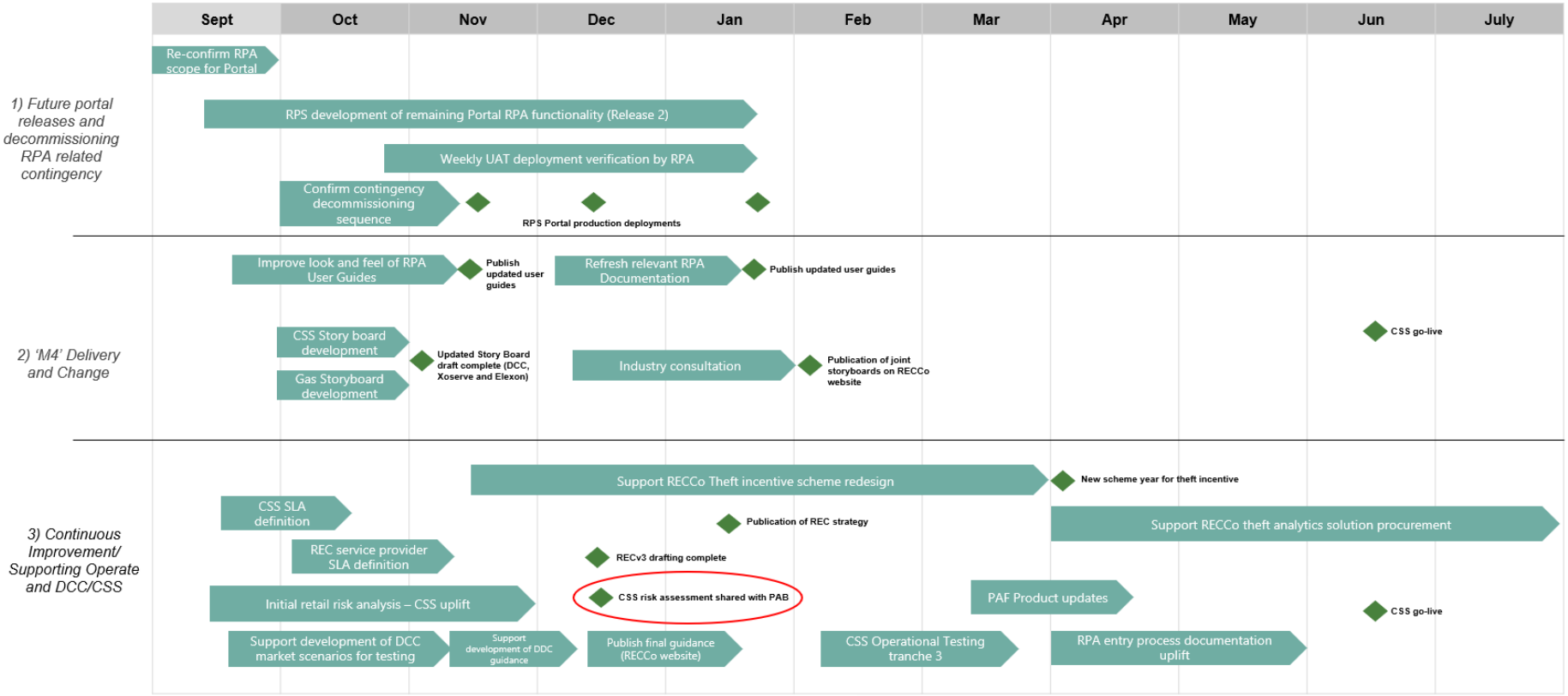
3 PAB ACTIONS

PAB Members are invited to take the following actions:

- **NOTE** the contents of this paper; and
- **CONSIDER** if regular updates would be helpful for the PAB in relation to REC V3.0, including key changes to Category 3 documents and periodic status updates on the supporting activities.

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APPENDIX A - YEAR-1 POST IMPLEMENTATION PLAN ON A PAGE (FOR INFORMATION PURPOSES ONLY)



Note: The RPA Operate plan is defined outside the scope of this programme, but will draw upon Operate resources for key activities/input and transition. A post implementation programme plan has also been developed which sits 'below' this summary level view (the plan includes supporting workstreams and detailed activities, dependencies and milestones).